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PART I **University of South Alabama – Subrecipient Commitment Form**

Please complete and return with the ***required*** proposal documents: Statement of Work, Budget, and Budget Justification.

USA Information			
USA PI:		CAYUSE NUMBER:	
PROPOSAL TITLE:			
PERIOD OF PERFORMANCE:		PRIME SPONSOR:	
Subrecipient Information			
Subrecipient Organization:			
Principal Investigator (name & email):			
Administrative Contact (name & email):			
Period of Performance:		Proposed Sub Amount:	
Subrecipient Address with **ZIP + 4:**		Performance Site Address with **ZIP + 4:**	
Congressional District - Organization:		Congressional District – Performance Site:	
DUNS:	SAM UEI:	SAM.gov expiration date:	EIN:

Required Subrecipient Certifications

Do you have a negotiated Indirect Cost Rate Agreement with a U.S. cognizant agency?

◯ YES: Provide the URL or a copy with this form:

◯ NO: Unless other restrictions or sponsor conditions exist, the Uniform Guidance 15% de minimis rate will apply.

Where the sponsor or funding opportunity restricts indirect costs, the proposed budget should use the sponsor/opportunity rate.

Proposed Cost Sharing Amount: $ Cost sharing amounts and justification must be included in the Subrecipient budget

Subrecipient or Subrecipient Principal Investigator Debarred or Suspended ◯ Yes ◯ No

Human Subjects ◯ Yes ◯ No	If Yes: FWA#	Human Stem Cells	◯ Yes ◯ No
Animal Subjects ◯ Yes ◯ No	IF Yes: Assurance#	Animals Euthanized?	◯ Yes ◯ No

Please note: Copies of IRB/IACUC approval must be provided before a subaward will be issued.

FCOI: Subrecipient has implemented a written policy for Investigator Financial Disclosure and Conflict of Interest (FCOI) consistent with agency requirements. ◯ Yes ◯ No ◯ N/A

RCR: Subrecipient certifies that a Responsible Conduct of Research (RCR) Training Plan Is in place consistent with agency requirements. ◯ Yes ◯ No ◯ N/A

RST: Subrecipient certifies that Research Security Training (RST) Is in place consistent with agency requirements. www.secure-center.org/training ◯ Yes ◯ No ◯ N/A

Export Control: Subrecipient certifies that an Export Control Office, or other authorized Person has reviewed the Subrecipient proposal for compliance with Federal Export Control Laws. ◯ Yes ◯ No ◯ N/A

Audit: Is Subrecipient subject to Uniform Guidance 2 CFR 200.331 Subpart F – Audit Requirements?

◯ YES: Most recent fiscal year audit completed:

◯ NO: USA requires Subrecipient to complete a financial status questionnaire before a subaward will be issued.

Subrecipient Authorized Official Approval

I certify that my organization is correctly categorized as a Subrecipient and not a Contractor. The information and certifications above have been read, signed and made by an authorized official of the Subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of the prime agency's policy in regard to subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. Any work begun and/or expenses incurred prior to execution of a subrecipient agreement are at the subrecipients's risk.

_____ _____

Signature of Subrecipient's Authorized Official Date

_____

Name and Title of Authorized Official

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PART II – REQUIRED SUBRECIPIENT CERTIFICATION

SUBRECIPIENT CERTIFICATIONS-- CHIPS
The Subrecipient Institution, through its Authorized Official Representative, certifies its adherence to the training requirements of Section 10634 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232), and that all personnel to work on this proposal who are required to complete the training are in compliance with such training requirements. ○ Yes ○ No. Please explain: _____
In accordance with Sections 10638 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232), the Authorized Official Representative certifies that all individuals identified as senior/key personnel have been made aware of the requirements and have complied with their responsibility under that section to certify annually that such individuals are not a party to a Malign Foreign Talent Recruitment Program. ○ Yes ○ No. Please explain: _____
Subrecipient Institution, through its Authorized Official Representative, certifies that the institution is aware of the Foreign Talent Recruitment Program requirements of the CHIPS and Science Act of 2022 (Subtitle D Research Security, Sections 10631, 10632, and 10638 of the U.S. Public Law 117-167), and are in compliance with such requirements. ○ Yes ○ No. Please explain: _____

_____ _____

Signature of Subrecipient's Authorized Official Date

_____

Name and Title of Authorized Official