### DSMB Report Template -Closed Session-

#### **For Multi-Site Studies**

March 2021 DSMB Report Template- Multi-Site Closed Session- Version 1.0

i

### **Title Page**

(Title of the study, PI)

### **Table of Contents**

Title Page	. <b>i</b>
Table of Contents	ii
Closed Session Report Summary	1
Recruitment and Participant Status: Figure and Tables	2
Figure 1: Overall Study Status by Treatment Group	3
Table 5: Demographic and Key Baseline Characteristics by Blinded Treatment         Group	4
Table 6: Treatment Duration for All Participants	5
Safety Assessments: Tables and Listings	6
Table 10: Incidence of Adverse Events by Body System, Preferred Term and Treatment Group	7
Table 11: Severity of Adverse Events by Preferred Term and Treatment Group	8
Listing 1: Serious Adverse Events by Treatment Group	9
Listing 2: Deaths by Treatment Group1	0
Listing 3: Adverse Events by Treatment Group1	1
Table 12a: Laboratory Test Results Summary Treatment Group A1	2
Table 12b: Laboratory Test Results Summary Treatment Group B1	3
Listing 4: Clinically Significant Abnormal Lab Values	4

\* Please note that the tables are numbered based on the corresponding Open session tables for consistency. Only tables that are applicable to Closed session need to be included here. The final format of the reports, tables, and listings are to be determined by the Data and Safety Monitoring Board.

#### **Closed Session Report Summary**

### **Study Administration**

# Recruitment and Participant Status: Figure and Tables

March 2021 DSMB Report Template- Multi-Site Closed Session- Version 1.0

2

**Principal Investigator:** 

Figure 1: Overall Study Status by Treatment Group



**Principal Investigator:** 

### Table 5: Demographic and Key Baseline Characteristics by BlindedTreatment Group

Data as of:\_\_\_\_\_

Date of report:\_\_\_\_\_

	Characteristics	Group A n (%)	Group B n (%)	Total N
	Total Enrolled:			
Gender	Male			
	Female			
Ethnicity	Hispanic or Latino			
	Not Hispanic or Latino			
	Unknown or not reported			
Deee	American Indian/Alaska Native			
Race	Asian			
	Black or African American			
	Native Hawaiian or Other Pacific			
	Islander			
	White			
	More than one race			
	Unknown or not reported			
Clinical	BMI ≥ 30*			
Features/				
Stratification				
	Mean			
A	Median			
Age	Standard Deviation			
	Minimum			
	Maximum			

\* This is an example, needs to be protocol specific.

**Principal Investigator:** 

#### **Table 6: Treatment Duration for All Participants**

Data as of:\_\_\_\_\_

Date of report:\_\_\_\_\_

Time in Study*	Group A: n	Group A: %	Group B: n	Group B: %	Total
Visit 1					
Visit 2					
Visit 3					
Visit 4					
Completed Study					

\* Needs to be protocol specific and can be shown by visits, days, weeks, months, or treatment periods. Final format and content is determined by DSMB.

## Safety Assessments: Tables and Listings

3/24/08 DSMB Report Template- Multi-Site Closed Session- Version 1.0

etc.

etc....

Gastrointestinal

**Principal Investigator:** 

 Table 10: Incidence of Adverse Events by Body System, Preferred Term and Treatment Group

 Data as of:\_\_\_\_\_\_

Date of report: **Body System and Preferred Group A** Group A Group A **Group B** N=n\* N=%\*\* N=Events\*\*\* N=n\* Term Overall Cardiovascular Myocardial Infarction Increased Blood Pressure etc. Genitourinary Yeast Infection Vaginal Bleeding

 Number of participants experiencing an adverse events (participant is to be counted only once for each adverse event) in a Treatment Group

\*\* % of total number of participants in the study

\*\*\* Number of events for Body System and Preferred Term

This table can present overall incidence of adverse events as shown above; or adverse events related to the intervention as judged by the investigator; or treatment emergent events.

**Group B** 

N=%\*\*

**Group B** 

N=Events\*\*\*

**Principal Investigator:** 

#### Table 11: Severity of Adverse Events by Preferred Term and Treatment Group

Data as of:\_\_\_\_\_

Date of report:\_\_\_\_\_

Preferred Term*	Group A N=Mild n** (%)***	Group A N=Moderate n (%)	Group A N=Severe n (%)	Group B N=Mild n** (%)***	Group B N=Moderate n (%)	Group B N=Severe n (%)
Headache						
Pain						
etc.						

\* For preferred term, sort by most common event in descending order of incidence

\*\* Number of participants experiencing a certain severity of an adverse event where each participant is counted once at the highest level of severity for the event.

\*\*\* % of participants experiencing a certain severity of an adverse event within Treatment Group

This table can present severity of all adverse events sorted by preferred term in descending order of incidence as shown above; or adverse events related to the intervention as judged by the investigator; or treatment emergent events.

**Principal Investigator:** 

#### Listing 1: Serious Adverse Events by Treatment Group

Data as of:\_\_\_\_\_

Date of report:\_\_\_\_\_

Site	Treatment Group	Participant ID	Onset Date	Stop Date	Expected (Y/N)	Relationship to Intervention* (Y/N)	Outcome**	Description of SAE

\* Definite, Possible, Not Related

\*\* Outcome:

Recovered, without treatment Recovered, with treatment Still Present, no treatment Still Present, being treated Residual effect(s) present – no treatment Residual effect(s) present- being treated Subject died

**Principal Investigator:** 

#### Listing 2: Deaths by Treatment Group

Data as of:\_\_\_\_\_

Date of report:\_\_\_\_\_

Site	Treatment Group	Participant ID	Date of Death	Cause of Death	Relationship to Intervention*

\* Definite, Possible, Not Related

**Principal Investigator:** 

Listing 3: Adverse Events by Treatment Group\*

Data as of:\_\_\_\_\_

Date of report:\_\_\_\_\_

Site	Treatment Group	Participant ID	Days on Intervention	Relationship to Intervention**	Severity	Serious (Y/N)	Outcomes***

\* This listing could be sorted by Preferred Term or by Treatment Group.

- \*\* Definite, Possible, Not Related
- \*\*\* Outcome:
  - Recovered, without treatment Recovered, with treatment Still Present, no treatment Still Present, being treated Residual effect(s) present – no treatment Residual effect(s) present- being treated Subject died

**Principal Investigator:** 

Table 12a: Laboratory Test Results Summary Treatment Group A\*

Data as of:\_\_\_\_\_

Date of report:\_\_\_\_\_

-----Change from Baseline-----

Laboratory Test	Sample Study Visits	N	Mean	SD	Min	Median	Max	N	Mean	SD	Min	Median	Мах
Test 1	Screening												
	6 Months												
	12 Months												
	24 Months												
	36 Months												
Test 2	Screening												
	6 Months												
	12 Months												
	24 Months												
	36 Months												
Etc	Screening												
	6 Months												
	12 Months							1					
	24 Months							1					
	36 Months							1					

\* Table may include lab test results that are clinically significant, as defined by the protocol, or ALL lab test results. Final format is determined by the DSMB.

**Principal Investigator:** 

Table 12b: Laboratory Test Results Summary Treatment Group B\*

Data as of:\_\_\_\_\_

Date of report:\_\_\_\_\_

-----Change from Baseline------

Laboratory Test	Sample Study Visits	Ν	Mean	SD	Min	Median	Max	N	Mean	SD	Min	Median	Max
Test 1	Screening												
	6 Months												
	12 Months												
	24 Months												
	36 Months												
Test 2	Screening												
	6 Months												
	12 Months												
	24 Months												
	36 Months												
Etc	Screening												
	6 Months												
	12 Months												
	24 Months												
	36 Months												

\* Table may include lab test results that are clinically significant, as defined by the protocol, or ALL lab test results. Final format is determined by the DSMB.

**Principal Investigator:** 

Listing 4: Clinically Significant Abnormal Lab Values

Data as of:\_\_\_\_\_

Date of report:\_\_\_\_\_

Site	Treatment Group	Participant ID	Visit	Age	Gender	Lab Panel	Lab Test	Result