AI and authorship:

What does the development of AI tools mean for publication ethics and authorship?

Kaia Motter, Head of Academic Affairs, North America at Springer Nature May 20, 2024

© FabrikaCr / Getty Images / iStock



What is Springer Nature?

Leading global research publisher



SPRINGER NATURE GROUP

Al and Authorship: Threats

AI Explosion in AI Solutions

Examples of generative AI solutions for content creation



4 practical Generative AI 'text' use cases

1 Text Generat	LLMs such as GPT-4 can generate text from scratch based on prompts such as "Write a report about latest developments in AI." This use case needs to be taken with caution as this kind of text generation often hallucinates text components which are not correct.
2 Text Conversi	LLMs can convert texts in many ways, from long to short (summarization) and vice versa, from complex to simple and vice versa, language translation. This is a very powerful set of use cases to be explored. It requires human fact-checking.
3 Text Interrog	LLMs allow users to interrogate given texts such as "What are the key recommendations?" ation This is a very powerful use case as it holds the potential to greatly advance qualitative research. It requires human fact-checking.
4 Idea Generat	LLMs are also a powerful innovation tools accelerating ideation processes. Prompts such as "Come up with 50 ideas for my next research in Computational Linguistics" are great starting points for brainstorming and idea generation.

Al generated manuscript text



THE PAPER-MILL PROBLEM

A software analysis finds that articles with close textual similarity to

Al generated images



Retractions (after publication)



Retractions (after publication)

Growth: Retractions
Growth: Publications



Al and Authorship: Policy

5 Ethical Principles for AI at Springer Nature

Dignity, Respect and Minimising Harm	We prioritize human well-being and dignity, and take steps to prevent harm to society and the environment.
Fairness and Equity	We mitigate the potential for structural bias and inequities.
Transparency	We disclose when an AI system is being used and explain our processes in accessible language.
Accountability	We maintain human oversight of the development and outcomes generated by our AI tools and solutions.
Privacy and Data Governance	We safeguard personal privacy and follow all relevant data protection laws.

LLM Springer Nature Editorial Policy

EDITORIAL 24 January 2023

Tools such as ChatGPT threaten transparent science; here are our ground rules for their use

As researchers dive into the brave new world of advanced AI chatbots, publishers need to acknowledge their legitimate uses and lay down clear guidelines to avoid abuse.

That's why it is high time researchers and publishers laid down ground rules about using LLMs ethically. *Nature*, along with all Springer Nature journals, has formulated the following two principles, which have been added to our existing guide to authors (see go.nature.com/3j1jxsw). As *Nature*'s news team has reported, <u>other scientific publishers are likely to adopt a similar stance</u>.

First, no LLM tool will be accepted as a credited author on a research paper. That is because any attribution of authorship carries with it accountability for the work, and AI tools cannot take such responsibility.

Second, researchers using LLM tools should document this use in the methods or acknowledgements sections. If a paper does not include these sections, the introduction or another appropriate section can be used to document the use of the LLM.

LLM Springer Nature Editorial Policy

Should *Nature* allow generative artificial intelligence (AI) to be used in the creation of images and videos? This journal has been discussing, debating and consulting on this question for several months following the explosion of content created using generative AI tools such as ChatGPT and Midjourney, and the rapid increase in these platforms' capabilities.

Apart from in articles that are specifically about AI, *Nature* will not be publishing any content in which photography, videos or illustrations have been created wholly or partly using generative AI, at least for the foreseeable future.

Artists, filmmakers, illustrators and photographers whom we commission and work with will be asked to confirm that none of the work they submit has been generated or augmented using generative AI (see <u>go.nature.com/3c5vrtm</u>).

How are publishers adapting to the popularity of these tools?

Journals differ in their policies around AI-generated imagery. Springer Nature has <u>banned the</u> <u>use of AI-generated images, videos and illustrations in most journal articles that are not</u> <u>specifically about AI</u> (*Nature*'s news team is independent of its publisher, Springer Nature). Journals in the Science family <u>do not allow AI-generated text, figures or images</u> to be used without explicit permission from the editors, unless the paper is specifically about AI or machine learning. *PLOS ONE* <u>allows the use of AI tools</u> but states that researchers must declare the tool involved, how they used it and how they verified the quality of the generated content.

EDITORIAL 07 June 2023

Why *Nature* will not allow the use of generative AI in images and video

Saying 'no' to this kind of visual content is a question of research integrity, consent, privacy and intellectual-property protection.





Nature will not publish imagery created wholly or partly using generative Al. Credit: Artem Medvediev/Alamy



Al and Authorship: technology and people

Problem prevention (before publication)

Springer Nature removes articles with integrity problems before peer review



LLM vs. LLM

Geppetto, launched 20 November 2023



Distribution of earthquake activity in mountain area based on embedded system and physical fitness detection of basketball



LLM vs. LLM

SnappShot, currently in testing

Snappshot

Pairing AI with human expertise



University president resigns after investigation

https://www.nature.com/articles/d41586-023-02438-3



Using AI to detect image manipulation External Efforts



The New York Times

Top Cancer Center Seeks to Retract or Correct Dozens of Studies

A British biologist and blogger discovered faulty data in many studies conducted by top executives of the Dana-Farber Cancer Institute.

🖀 Share full article 🔗 🗍 🖵 397



The Dana-Farber Cancer Institute in Boston is one of the nation's foremost cancer treatment and research facilities. Craig F. Walker/The Boston Globe, via Getty Images



Resources

2023 SN Research Integrity US survey

Survey results indicate a need for resources and training



SPRINGER NATURE

⊟ Authors

New: April 2023

Free

Research Integrity: An Introduction for Researchers

Research integrity is a key topic for everyone involved in science. However, it can present a bewildering array of topics, and early career researchers may receive little or no formal training in this area. How can you avoid common pitfalls and ensure your work is of the best possible standard? This course aims to give you an overview of the main areas in both research ethics and publication ethics.

We have designed this tutorial with early career researchers in mind, across all scholarly fields. Whether your work involves traditional lab work, field work or research that is literature or theory based, the principles of research ethics and publication ethics are still critical.

You will also have the opportunity to check your understanding with quiz questions as we go.





English

Self-paced





45 minutes







 (\mathbf{z})

Access the course

23 https://www.springernature.com/gp/authors/campaigns/research-integrity-course



New: September 2023

Home > On-demand Courses > Write & publish

Research Integrity: Publication Ethics

Free





For researchers in the natural sciences who want to improve their understanding of how to **publish** research ethically and with integrity



7 experts in publication ethics, including a Nature Portfolio journal Chief Editor, Caltech's Chief Research Policy Officer and an elected member of the Committee on Publication Ethics (COPE) Council



Free course - available to all users who register

8 hours of learning

10-40-minute lessons

3-module course with a course certificate

https://masterclasses.nature.com/publication-ethics/25567404

Collaboration

Better, together Collaborations in Research Integrity, examples



Thank you

Kaia Motter kaia.motter@springernature.com